

# **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

|                    |                                                                                                                                |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------|
| Title of Invention | IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE HYDROCARBONS HAVING A SELECTED CARBON NUMBER RANGE |
|--------------------|--------------------------------------------------------------------------------------------------------------------------------|

Application Number : 09/841490



Confirmation Number: 3750

First Named Applicant: Scott Wellington

Attorney Docket Number: 5659-01100

Art Unit: 3672

Examiner: George A. Suchfield

Search string: ( 3386508 or 4303126 or 4766958 or 4828031 or 5085276 or 5305829 or 5377756 or 5411086 or 3288648 or 6820688 or 6805195 or 4415034 ).pn

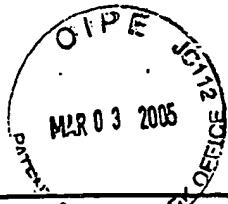
## US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

| init | Cite.No. | Patent No. | Date       | Patentee         | Kind | Class | Subclass |
|------|----------|------------|------------|------------------|------|-------|----------|
| GS   | 1        | 3386508    | 1968-06-04 | Bielstein et al. |      |       |          |
| 1    | 2        | 4303126    | 1981-12-01 | Blevins          |      |       |          |
|      | 3        | 4766958    | 1988-08-30 | Faecke           |      |       |          |
|      | 4        | 4828031    | 1989-05-09 | Davis            |      |       |          |
|      | 5        | 5085276    | 1992-02-04 | Rivas et al.     |      |       |          |
|      | 6        | 5305829    | 1994-04-26 | Kumar            |      |       |          |
|      | 7        | 5377756    | 1995-01-03 | Northrop et al.  |      |       |          |
|      | 8        | 5411086    | 1995-05-02 | Burcham et al.   |      |       |          |
|      | 9        | 3288648    | 1966-11-29 | Jones            |      |       |          |
|      | 10       | 6820688    | 2004-11-23 | Vinegar et al.   |      |       |          |
|      | 11       | 6805195    | 2004-10-19 | Vinegar et al.   |      |       |          |
| GS   | 12       | 4415034    | 1983-11-15 | Bouch            |      |       |          |

**Signature**

|                  |         |
|------------------|---------|
| Examiner Name    | Date    |
| George Suchfield | 5/10/05 |



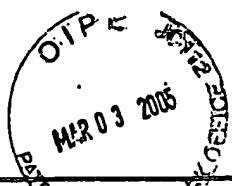
|                                                                                                                                                          |                                                                                             |                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| Form PTO-1449 (modified)<br>List of Patents and Publications<br>For Applicant's Information<br>Disclosure Statement<br>(Use several sheets if necessary) | ATTY. DOCKET NO.: 5659-01100<br>APPLICANT: Wellington et al.<br>FILING DATE: April 24, 2001 | SERIAL NO.: 09/841,490<br>CONFIRMATION NO.: 3750<br>ART UNIT: 3672 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------|

### U.S. PATENT DOCUMENTS

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE       | NAME                | CLASS | SUB CLASS | FILING DATE IF APP. |
|----------------|----------|-----------------|------------|---------------------|-------|-----------|---------------------|
|                | U113     | 3288648         | 11/29/1966 | Jones               |       |           |                     |
|                | U114     | 4415034         | 11/15/1983 | Bouck               |       |           |                     |
|                | U115     | 6820688         | 11/23/2004 | Vinegar et al.      |       |           |                     |
|                | U116     | 6805195         | 10/19/2004 | Vinegar et al.      |       |           |                     |
|                | U117     | 3386508         | 6/4/1968   | Bielstein et al.    |       |           |                     |
|                | U118     | 4303126         | 12/1/1981  | Blevins             |       |           |                     |
|                | U119     | 4766958         | 8/30/1988  | Faecke              |       |           |                     |
|                | U120     | 4828031         | 5/9/1989   | Davis               |       |           |                     |
|                | U121     | 5085276         | 2/4/1992   | Rivas et al.        |       |           |                     |
|                | U122     | 5305829         | 4/26/1994  | Kumar               |       |           |                     |
|                | U123     | 5377756         | 1/3/1995   | Northrop et al.     |       |           |                     |
|                | U124     | 5411086         | 5/2/1995   | Burcham et al.      |       |           |                     |
|                | U125     | 4319635         | 3/16/1982  | Jones               |       |           |                     |
|                | U126     | 5027896         | 7/2/1991   | Anderson            |       |           |                     |
|                | U127     | 5325918         | 7/5/1994   | Berryman et al.     |       |           |                     |
|                | U128     | 4418752         | 12/6/1983  | Boyer et al.        |       |           |                     |
|                | U130     | 5891829         | 4/6/1999   | Vallejos et al.     |       |           |                     |
|                | U131     | 4151877         | 5/1/1979   | French              |       |           |                     |
|                | U132     | 4243511         | 1/6/1981   | Allred              |       |           |                     |
|                | U133     | 4439307         | 3/27/1984  | Jaquay et al.       |       |           |                     |
|                | U134     | 4544478         | 10/1/1985  | Kelley              |       |           |                     |
|                | U135     | 5041210         | 8/20/1991  | Merrill, Jr. et al. |       |           |                     |
|                | U136     | 4285547         | 8/25/1981  | Weichman            |       |           |                     |

EXAMINER: George Suchfield DATE CONSIDERED: 5/10/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with  
next communication to the patent owner.



|                                                                                                                                                          |                                                                                             |                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| Form PTO-1449 (modified)<br>List of Patents and Publications<br>For Applicant's Information<br>Disclosure Statement<br>(Use several sheets if necessary) | ATTY. DOCKET NO.: 5659-01100<br>APPLICANT: Wellington et al.<br>FILING DATE: April 24, 2001 | SERIAL NO.: 09/841,490<br>CONFIRMATION NO.: 3750<br>ART UNIT: 3672 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------|

### U.S. PATENT DOCUMENTS

| EXAM. INITIALS | REF. DES    | DOCUMENT NUMBER | DATE       | NAME                | CLASS | SUB CLASS | FILING DATE IF APP. |
|----------------|-------------|-----------------|------------|---------------------|-------|-----------|---------------------|
| U137           | 4234230     |                 | 11/18/1980 | Weichman            |       |           |                     |
| U138           | 3700280     |                 | 10/24/1972 | Papadopoulos et al. |       |           |                     |
| U139           | 3759328     |                 | 9/18/1973  | Ueber et al.        |       |           |                     |
| U140           | 3804169     |                 | 4/16/1974  | Closmann            |       |           |                     |
| U141           | 3804172     |                 | 4/16/1974  | Closmann et al.     |       |           |                     |
| U142           | 4065183     |                 | 12/27/1977 | Hill et al.         |       |           |                     |
| U143           | 3779602     |                 | 12/18/1973 | Beard et al.        |       |           |                     |
| U144           | 4260192     |                 | 4/7/1981   | Shafer              |       |           |                     |
| U145           | 20030029617 |                 | 2/13/2003  | Brown et al.        |       |           |                     |

### OTHER ART

| Exam Initials | REF. DES | Article (Including Author, Title, Date, Pertinent Pages, Etc.)                                                              |
|---------------|----------|-----------------------------------------------------------------------------------------------------------------------------|
| GS            | SR01     | PCT "International Search Report" for International Application No. PCT/US 02/34264 mailed on December 2, 2004 (7 pages).   |
| GS            | OA-19    | U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,493, Mailed October 6, 2004 (4 pages).  |
| GS            | OA-20    | U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,302, Mailed October 21, 2004 (6 pages). |

EXAMINER: George Suchfield DATE CONSIDERED: 5/10/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE HYDROCARBONS HAVING A SELECTED CARBON NUMBER RANGE |                                                                                    |            |                     |      |       |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------|---------------------|------|-------|----------|
| Application Number : 09/841490<br>Confirmation Number: 3750<br>First Named Applicant: Scott Wellington<br>Attorney Docket Number: 5659-01100<br>Art Unit: 3672<br>Examiner: George A. Suchfield<br>Search string: ( 4319635 or 5027896 or 5325918 or 4418752 or 5891829 or 4151877 or 4243511 or 4439307 or 4544478 or 5041210 or 4285547 or 4234230 or 3700280 or 3804169 or 3759328 or 3804172 or 4065183 or 3779602 or 4260192 or 4883582 or 3288648 or 4415034 or 6820688 or 6805195 or 3386508 or 4303126 or 4766958 or 4828031 or 5085276 or 5305829 or 5377756 or 5411086 or 6866097 or 20030029617 ).pn |                                                                                                                                |  |            |                     |      |       |          |
| <b>US Patent Documents</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                |                                                                                    |            |                     |      |       |          |
| <b>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                |                                                                                    |            |                     |      |       |          |
| init                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Cite.No.                                                                                                                       | Patent No.                                                                         | Date       | Patentee            | Kind | Class | Subclass |
| G7                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 1                                                                                                                              | 4319635                                                                            | 1982-03-16 | Jones               |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 2                                                                                                                              | 5027896                                                                            | 1991-07-02 | Anderson            |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 3                                                                                                                              | 5325918                                                                            | 1994-07-05 | Berryman et al.     |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 4                                                                                                                              | 4418752                                                                            | 1983-12-06 | Boyer et al.        |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 5                                                                                                                              | 5891829                                                                            | 1999-04-06 | Vallejos et al.     |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 6                                                                                                                              | 4151877                                                                            | 1979-05-01 | French              |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 7                                                                                                                              | 4243511                                                                            | 1981-01-06 | Allred              |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 8                                                                                                                              | 4439307                                                                            | 1984-03-27 | Jaquay et al.       |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 9                                                                                                                              | 4544478                                                                            | 1985-10-01 | Kelley              |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 10                                                                                                                             | 5041210                                                                            | 1991-08-20 | Merrill, Jr. et al. |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 11                                                                                                                             | 4285547                                                                            | 1981-08-25 | Weichman            |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 12                                                                                                                             | 4234230                                                                            | 1980-11-18 | Weichman            |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 13                                                                                                                             | 3700280                                                                            | 1972-10-24 | Papadopoulos et al. |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 14                                                                                                                             | 3804169                                                                            | 1974-04-16 | Closmann            |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 15                                                                                                                             | 3759328                                                                            | 1973-09-18 | Ueber et al.        |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 16                                                                                                                             | 3804172                                                                            | 1974-04-16 | Closmann et al.     |      |       |          |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 17                                                                                                                             | 4065183                                                                            | 1977-12-27 | Hill et al.         |      |       |          |
| G7                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 18                                                                                                                             | 3779602                                                                            | 1973-12-18 | Beard et al.        |      |       |          |

|    |    |         |            |                  |  |  |  |
|----|----|---------|------------|------------------|--|--|--|
| 69 | 19 | 4260192 | 1981-04-07 | Shafer           |  |  |  |
|    | 20 | 4883582 | 1989-11-28 | McCants          |  |  |  |
|    | 21 | 3288648 | 1966-11-29 | Jones            |  |  |  |
|    | 22 | 4415034 | 1983-11-15 | Bouch            |  |  |  |
|    | 23 | 6820688 | 2004-11-23 | Vinegar et al.   |  |  |  |
|    | 24 | 6805195 | 2004-10-19 | Vinegar et al.   |  |  |  |
|    | 25 | 3386508 | 1968-06-04 | Bielstein et al. |  |  |  |
|    | 26 | 4303126 | 1981-12-01 | Blevins          |  |  |  |
|    | 27 | 4766958 | 1988-08-30 | Faecke           |  |  |  |
|    | 28 | 4828031 | 1989-05-09 | Davis            |  |  |  |
|    | 29 | 5085276 | 1992-02-04 | Rivas et al.     |  |  |  |
|    | 30 | 5305829 | 1994-04-26 | Kumar            |  |  |  |
|    | 31 | 5377756 | 1995-01-03 | Northrop et al.  |  |  |  |
|    | 32 | 5411086 | 1995-05-02 | Burcham et al.   |  |  |  |
| 69 | 33 | 6866097 | 2005-03-15 | Vinegar et al.   |  |  |  |

### US Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Published Applications**

| init | Cite.No. | Pub. No.    | Date       | Applicant    | Kind | Class | Subclass |
|------|----------|-------------|------------|--------------|------|-------|----------|
| 69   | 1        | 20030029617 | 2003-02-13 | Brown et al. |      |       |          |

### Signature

| Examiner Name    | Date    |
|------------------|---------|
| George Suchfield | 5/10/05 |

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| <b>Title of Invention</b>                                                                  | IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE HYDROCARBONS HAVING A SELECTED CARBON NUMBER RANGE                                                                                                                                                                                       |                                                                                    |            |                   |      |       |          |
|--------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------|-------------------|------|-------|----------|
| Application Number :                                                                       | 09/841490                                                                                                                                                                                                                                                                                                            |  |            |                   |      |       |          |
| Confirmation Number:                                                                       | 3750                                                                                                                                                                                                                                                                                                                 |                                                                                    |            |                   |      |       |          |
| First Named Applicant:                                                                     | Scott Wellington                                                                                                                                                                                                                                                                                                     |                                                                                    |            |                   |      |       |          |
| Attorney Docket Number:                                                                    | 5659-01100                                                                                                                                                                                                                                                                                                           |                                                                                    |            |                   |      |       |          |
| Art Unit:                                                                                  | 3672                                                                                                                                                                                                                                                                                                                 |                                                                                    |            |                   |      |       |          |
| Examiner:                                                                                  | George A. Suchfield                                                                                                                                                                                                                                                                                                  |                                                                                    |            |                   |      |       |          |
| Search string:                                                                             | ( 5539853 or 3691291 or 3032102 or 3079085 or 3454365 or 4260018 or 4683947 or 5055180 or 5626191 or 6353706 or 6467543 or 6499536 or 4734115 or 3170842 or 3618663 or 4018280 or 4698149 or 3948755 or 4605489 or 4623444 or 4885080 or 5059303 or 6110358 or 6789625 or 6782947 or 20020004533 or 20020112987 ).pn |                                                                                    |            |                   |      |       |          |
| <b>US Patent Documents</b>                                                                 |                                                                                                                                                                                                                                                                                                                      |                                                                                    |            |                   |      |       |          |
| <b>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</b> |                                                                                                                                                                                                                                                                                                                      |                                                                                    |            |                   |      |       |          |
| init                                                                                       | Cite.No.                                                                                                                                                                                                                                                                                                             | Patent No.                                                                         | Date       | Patentee          | Kind | Class | Subclass |
| GJ                                                                                         | 1                                                                                                                                                                                                                                                                                                                    | 5539853                                                                            | 1996-07-23 | Jamaluddin et al. |      |       |          |
|                                                                                            | 2                                                                                                                                                                                                                                                                                                                    | 3691291                                                                            | 1972-09-12 | Taj               |      |       |          |
|                                                                                            | 3                                                                                                                                                                                                                                                                                                                    | 3032102                                                                            | 1962-05-01 | Parker            |      |       |          |
|                                                                                            | 4                                                                                                                                                                                                                                                                                                                    | 3079085                                                                            | 2004-09-28 | Clark, Jr. et al. |      |       |          |
|                                                                                            | 5                                                                                                                                                                                                                                                                                                                    | 3454365                                                                            | 1969-07-08 | Lumpkin et al.    |      |       |          |
|                                                                                            | 6                                                                                                                                                                                                                                                                                                                    | 4260018                                                                            | 1981-04-07 | Shum et al.       |      |       |          |
|                                                                                            | 7                                                                                                                                                                                                                                                                                                                    | 4683947                                                                            | 1987-08-04 | Fernbacher et al. |      |       |          |
|                                                                                            | 8                                                                                                                                                                                                                                                                                                                    | 5055180                                                                            | 1991-10-08 | Klaila            |      |       |          |
|                                                                                            | 9                                                                                                                                                                                                                                                                                                                    | 5626191                                                                            | 1997-05-06 | Greaves et al.    |      |       |          |
|                                                                                            | 10                                                                                                                                                                                                                                                                                                                   | 6353706                                                                            | 2002-03-05 | Bridges           |      |       |          |
|                                                                                            | 11                                                                                                                                                                                                                                                                                                                   | 6467543                                                                            | 2002-10-22 | Talwani et al.    |      |       |          |
|                                                                                            | 12                                                                                                                                                                                                                                                                                                                   | 6499536                                                                            | 2002-12-31 | Ellingsen         |      |       |          |
|                                                                                            | 13                                                                                                                                                                                                                                                                                                                   | 4734115                                                                            | 1998-03-29 | Howard et al.     |      |       |          |
|                                                                                            | 14                                                                                                                                                                                                                                                                                                                   | 3170842                                                                            | 1965-02-23 | Kehler            |      |       |          |
|                                                                                            | 15                                                                                                                                                                                                                                                                                                                   | 3618663                                                                            | 1971-11-09 | Needham           |      |       |          |
|                                                                                            | 16                                                                                                                                                                                                                                                                                                                   | 4018280                                                                            | 1977-04-19 | Daviduk et al.    |      |       |          |
|                                                                                            | 17                                                                                                                                                                                                                                                                                                                   | 4698149                                                                            | 1987-10-06 | Mitchell          |      |       |          |
|                                                                                            | 18                                                                                                                                                                                                                                                                                                                   | 3948755                                                                            | 1976-04-06 | McCollum et al.   |      |       |          |
| GJ                                                                                         | 19                                                                                                                                                                                                                                                                                                                   | 4605489                                                                            | 1986-08-12 | Madgavkar         |      |       |          |

|    |    |         |            |                     |  |  |  |
|----|----|---------|------------|---------------------|--|--|--|
| GS | 20 | 4623444 | 1986-11-18 | Che et al.          |  |  |  |
|    | 21 | 4885080 | 1989-12-05 | Brown et al.        |  |  |  |
|    | 22 | 5059303 | 1991-10-22 | Taylor et al.       |  |  |  |
|    | 23 | 6110358 | 2000-08-29 | Aldous et al.       |  |  |  |
|    | 24 | 6789625 | 2004-09-14 | de Rouffinac et al. |  |  |  |
| GS | 25 | 6782947 | 2004-08-31 | de Rouffinac et al. |  |  |  |

### US Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Published Applications**

| init | Cite.No. | Pub. No.    | Date       | Applicant      | Kind | Class | Subclass |
|------|----------|-------------|------------|----------------|------|-------|----------|
| GS   | 1        | 20020004533 | 2002-01-10 | Wallace et al. |      |       |          |
| GS   | 2        | 20020112987 | 2002-08-22 | Hou et al.     |      |       |          |

### Signature

| Examiner Name    | Date    |
|------------------|---------|
| George Suchfield | 5/10/05 |

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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| <b>Title of Invention</b>                                                                  | IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE HYDROCARBONS HAVING A SELECTED CARBON NUMBER RANGE              |            |            |                      |      |       |          |
|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|------------|------------|----------------------|------|-------|----------|
| Application Number :                                                                       | 09/841490                                                                                                                                   |            |            |                      |      |       |          |
| Confirmation Number:                                                                       | 3750                                                                                                                                        |            |            |                      |      |       |          |
| First Named Applicant:                                                                     | Scott Wellington                                                                                                                            |            |            |                      |      |       |          |
| Attorney Docket Number:                                                                    | 5659-01100                                                                                                                                  |            |            |                      |      |       |          |
| Art Unit:                                                                                  | 3672                                                                                                                                        |            |            |                      |      |       |          |
| Examiner:                                                                                  | George A Suchfield                                                                                                                          |            |            |                      |      |       |          |
| Search string:                                                                             | ( 6763886 or 6769485 or 6769483 or 6581684 or 6588504 or 6588503 or 6591906 or 6591907 or 6607033 or 6609570 or 6688387 or 20040108111 ).pn |            |            |                      |      |       |          |
|          |                                                                                                                                             |            |            |                      |      |       |          |
| <b>US Patent Documents</b>                                                                 |                                                                                                                                             |            |            |                      |      |       |          |
| <b>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</b> |                                                                                                                                             |            |            |                      |      |       |          |
| init                                                                                       | Cite.No.                                                                                                                                    | Patent No. | Date       | Patentee             | Kind | Class | Subclass |
| GS                                                                                         | 1                                                                                                                                           | 6763886    | 2004-07-20 | Schoeling et al.     |      |       |          |
|                                                                                            | 2                                                                                                                                           | 6769485    | 2004-08-03 | Vinegar et al.       |      |       |          |
|                                                                                            | 3                                                                                                                                           | 6769483    | 2004-08-03 | de Rouffignac et al. |      |       |          |
|                                                                                            | 4                                                                                                                                           | 6581684    | 2003-06-24 | Wellington et al.    |      |       |          |
|                                                                                            | 5                                                                                                                                           | 6588504    | 2003-07-08 | Wellington et al.    |      |       |          |
|                                                                                            | 6                                                                                                                                           | 6588503    | 2003-07-08 | Karanikas et al.     |      |       |          |
|                                                                                            | 7                                                                                                                                           | 6591906    | 2003-07-15 | Wellington et al.    |      |       |          |
|                                                                                            | 8                                                                                                                                           | 6591907    | 2003-07-15 | Zhang et al.         |      |       |          |
|                                                                                            | 9                                                                                                                                           | 6607033    | 2003-08-19 | Wellington et al.    |      |       |          |
|                                                                                            | 10                                                                                                                                          | 6609570    | 2003-08-26 | Wellington et al.    |      |       |          |
| GS                                                                                         | 11                                                                                                                                          | 6688387    | 2004-02-10 | Wellington et al.    |      |       |          |

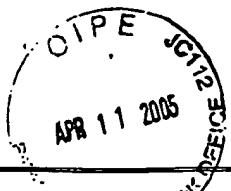
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**Note: Applicant Is not required to submit a paper copy of cited US Published Applications**

| init | Cite.No. | Pub. No.    | Date       | Applicant      | Kind | Class | Subclass |
|------|----------|-------------|------------|----------------|------|-------|----------|
| GS   | 1        | 20040108111 | 2004-06-10 | Vinegar et al. |      |       |          |

**Signature**

| Examiner Name    | Date    |
|------------------|---------|
| George Suchfield | 5/10/05 |



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|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| Form PTO-1449 (modified)<br>List of Patents and Publications<br>For Applicant's Information<br>Disclosure Statement<br>(Use several sheets if necessary) | ATTY. DOCKET NO: 5659-01100<br>APPLICANT: Wellington et al.<br>FILING DATE: April 24, 2001 | SERIAL NO: 09/841,490<br>CONFIRMATION NO. 3750<br>ART UNIT: 3672 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------------------------|

**OTHER ART**

| EXAM.<br>INITIALS | CITE.<br>NO. | OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)                                                           |
|-------------------|--------------|----------------------------------------------------------------------------------------------------------------------------|
| GS                | OA-19        | U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,493, mailed October 6, 2004; 4 pages.  |
|                   | OA-20        | U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,302, mailed October 21, 2004; 6 pages. |
|                   | SR02         | PCT, "Search Report" for International Application No. PCT/US02/13121 mailed December 2004; 7 pages.                       |
| GS                | WO01         | PCT, "Written Opinion" for International Application No. PCT/US02/13121 mailed February 24, 2005; 6 pages.                 |
|                   |              |                                                                                                                            |

EXAMINER: George Suchfield DATE CONSIDERED: 5/10/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next  
communication to the patent owner.